

Report Date	Audit	Last Follow/up	Latest position	RAG
Aug 12	Waste Contract Management	Two Amber rated actions reported to A&G Committee in June 2013: <ul style="list-style-type: none"> Need to resolve long term issues as part of contract variation negotiations ECO Park Deed of variation had not been signed 	The long term issues that needed to be resolved could not be completed until the Eco Park Deed of Variation was signed. Having signed this on 30 Oct. 2013, SCC is expected to commence discussions with SITA during the w/c 11 Nov. This will result in SCC making historical adjustments to contract payments to SITA for which provisions have already been made. The Eco Park Deed of Variation was signed on 30 Oct. 2013.	G
Aug 12	Data Quality - LAC Health and Dental checks	One Amber rated action (related to the delay in reviewing issues related to this indicator) reported to A&G Committee in June 2013	Guildford & Waverley Clinical Commissioning Group leading a review on Children's health, and working with Children's Service commissioning team to assess issues related to statutory health and dental checks for Looked After Children.	G
Aug 12	Integrated Children's System	Reported as Green to A&G Committee in June 2013		G
Aug 12	16-19 Education	Reported as Green to A&G Committee in June 2013		G
Sep-12	Telecare Project Management	Reported as Green to A&G Committee in June 2013.		G
Sep-12	Residential Care Homes - Managing Residents' Monies	Reported as Green to A&G Committee in June 2013		G
Sep-12	Recruitment Procedures	Reported as Green to A&G Committee in June 2013		G
Sep-12	Special Residential Schools - Teachers' additional payments	Reported as Green to A&G Committee in June 2013		G

Oct-12	Local Safeguarding Children Board	<p>Two Amber rated actions reported to A&G Committee in June 2013:</p> <ul style="list-style-type: none"> • Final structure of Board not finalised although temporary Board membership has been established • Lay membership has not been established. 	<p>A new structure and membership of the Board has been agreed and implemented.</p> <p>There are not currently any lay members on the Board. Discussions are ongoing as to the resolution of this question.</p>	A
Oct-12	Overtime	<p>Three Amber rated actions reported to A&G Committee in June 2013:</p> <ul style="list-style-type: none"> • The Finance Dashboard had not yet been implemented. • Reporting tools could not be developed until implementation of the Dashboard • Revitalised recruitment campaign to address level of reliance on agency staffing in Child Protection 	<p>Roll out was completed on 5th August. Finance continue to support budget holders in understanding and improving the use of their new Dashboard in order to deliver on their budget monitoring responsibilities and inform decision making.</p> <p>To reduce the reliance on agency staff, SCC will be:</p> <ul style="list-style-type: none"> • training newly qualified social workers to work in front line teams, including CP in its new academy; • reviewing the offer made to CP social workers to now include a relocation allowance; • encouraging locums to transfer to a permanent post • 9 permanent staff procured through agencies for CP teams; and • recruitment staff spending more time in the area offices and paying particular attention to the CP teams. 	G

Oct-12	Performance Management - Data Quality	<p>Two Amber rated actions reported to A&G Committee in June 2013:</p> <ul style="list-style-type: none"> • Need to consider creating separate performance indicators for web hits, emails and phone calls • Delay in reviewing issues relating to the LAC Health and Dental check indicator 	<p>It has been confirmed that the cost of web visits and the combined costs of calls and e mails are monitored and a single KPI is reported at present.</p> <p>Guildford & Waverley Clinical Commissioning Group leading a review on Children's health, and working with Children's Service commissioning team to assess issues related to statutory health and dental checks for Looked After Children.</p>	A
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Oct-12	Review of Concessionary Fares	<p>Two Amber rated actions reported to A&G Committee in June 2013:</p> <ul style="list-style-type: none"> • Quarterly review meetings have been arranged to liaise with Library staff and finalise the Memorandum of Understanding • 50% of data still to be reviewed and updated to improve data integrity 	<p>Although quarterly review meetings have been taking place, the Memorandum of Understanding has not been finalised due to retirement of the responsible manager. To be followed up with the current post holder.</p> <p>More than 50% data on the system is correct. However, all the data inherited from the districts and boroughs is unlikely to be cleansed until the full renewal cycle of 5 years is completed from April 2011.</p>	A
Nov-12	Review of Social Media	<p>One Amber rated action (related to the need to develop and implement a policy covering use of Social Media) reported to A&G Committee in June 2013.</p>	<p>The policy covering use of social media is undergoing final corporate branding and should be published by the 8 November 2013. The policy is to be supported by additional toolkits that are to follow.</p>	A

Nov-12	Materials Testing Laboratory	Two Amber rated actions reported to A&G Committee in June 2013: <ul style="list-style-type: none"> • Need to enhance information contained within the ETCi system • 50% of data still to be reviewed and updated to improve data integrity 	ETCi has been updated so that work carried out can be classified more easily and the remaining data reviewed.	G
Nov-12	Follow-up review of Direct Payments Audit	In June 2013 Audit and Governance Committee were advised that the Follow-up audit of Direct Payments had not identified any new recommendations although it was apparent that audit recommendations from the original audit were still outstanding.	A further follow-up audit has identified that the Council is still not fully compliant with its own policy to give all care users at least an annual Social Care Review (SCR), although the position at Surrey compared to other councils nationally is improving in terms of the percentage of cases reviewed. There are still gaps in the review of reconciliations provided by DP users. It has been recommended that the Adult Select Committee receive a regular report on the numbers of SCR performed annually.	A
Nov-12	LASER Contract Governance	Two Amber rated actions reported to A&G Committee in June 2013: <ul style="list-style-type: none"> • Repayment of over-charged management fee still awaited • Appropriate members have not yet had an opportunity to challenge LASER management on the service they provide. 	Management fees have been refunded to the value of £110,958.15 out of the total £115,781.13. The refunds were issued in the form of credits. £4,822.98 is still unclaimed for 17 schools. LASER Representatives attended a meeting of the COSC Performance and Finance Sub-Group on 30 September 2013 and members of the Sub-Group have been invited to a meeting of LASER members on 22 November.	G
Nov-12	Unofficial School Funds	Reported as Green to A&G Committee in June 2013		G
Nov-12	Corporate Purchasing Cards	Reported as Green to A&G Committee in June 2013		G

Dec 12	Capital Programme Management - Schools Basic Need (SBN)	One Amber rated action (related to the need to confirm the anticipated savings can be delivered through the Cluster programme Office) reported to A&G Committee in June 2013	In April 2013 a presentation of the current forecasting and delivery model was made to Cabinet/CLT, at which time we confirmed that we were operating within the MTFP 2013-18 budget envelope. Forecasts have been reviewed for the third time since April and budgets remain within the overall programme budget.	G
Dec 12	Records Management	Reported as Green to A&G Committee in June 2013		G
Dec 12	Superfast Broadband	<p>Four Amber rated actions reported to A&G Committee in June 2013:</p> <ul style="list-style-type: none"> • Clarity required over prioritising service delivery in areas with particular social and economic need • “Infill” Strategy to be available for review in June 2013 • A project evaluation methodology to allow a clearer assessment of VFM was being developed. 	<p>As the project aims to achieve 100% coverage within 12 months, the current deployment plan should achieve this objective.</p> <p>The estimated 1200 premises ‘infill premises’ are to be identified as deployment progresses. There is no strong evidence this number is inaccurate, although their exact location is not yet clear.</p> <p>An auditable claims process can check spend and there is robust budget monitoring. Take up analysis is underway and this impacts upon overall cost by a gain-share mechanism. A fuller economic impact assessment is likely within 18 months.</p>	<p>A</p> <p>A</p> <p>A</p>
Dec 12	Special Schools - Funding of Residential Provision	<p>Three Amber rated actions reported to A&G Committee in June 2013:</p> <ul style="list-style-type: none"> • New arrangements for funding residential places to be agreed with Special Schools Head teachers • Need to bring funding more in line with what is actually provided • Parameters for a review of 	<p>New funding arrangements have been agreed with Headteachers based on actual, rather than planned, use of residential provision.</p> <p>A consultant has been engaged to undertake the review of residential provision in Surrey’s special schools. Recommendations are expected to be made by April 2014, with implementation of new arrangement for the 2015/16 financial year.</p>	A

		Special Educational Needs residential provision have been agreed.		
Dec 12	Illuminated Street Furniture (ISF) contract	Reported as Green to A&G Committee in June 2013		G
Dec 12	Asset Management ICT	Reported as Green to A&G Committee in June 2013		G
Jan-13	TravelSMART Programme	One Amber rated action (related to the need to measure and confirm the value of Work in Progress) reported to A&G Committee in June 2013	TravelSMART is receiving monthly updates on work progression from two sources, May Gurney and the local project team. Accruals are being raised based on the percentage of works completed.	G
Jan-13	Building Maintenance	Two Amber rated actions reported to A&G Committee in June 2013: <ul style="list-style-type: none"> • Need to improve budget setting • Need to have completed the schedule of condition surveys 	Schedule of condition surveys completed now and improvements made in budget setting.	G